



Corporate risks - detailed report EXCLUDING COMPLETED ACTIONS

Report Author: Paul Dudley

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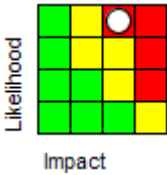
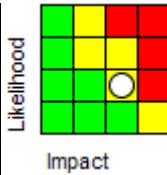
Rows are sorted by Risk Score

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR 24 Operational Security 07-Jun-2017 Peter Lisley	Cause: Inadequate, poorly maintained or time expired security infrastructure; lack of security culture within the organisation; poor training or organisation of staff; insufficient staff. Event: Security of an operational property is breached. Effect: Unauthorised access to building by criminals/protestors/terrorists; disruption of business/ high profile events; reputational damage; injury or potential loss of life amongst staff or members of the general public	 Likelihood Impact	24	A number of Boards have been created to ensure good governance of processes and funding. The Town Clerk chairs the overarching Security Board to ensure that security issues are dealt with in a timely fashion. A Security Strategy is now in place and an Action Plan is being implemented. Security teams across the operational estate are now fully resourced. 17 Nov 2017	 Likelihood Impact	16	30-Apr-2019	↔

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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
TC TCO 06D	Deliver a programme of security infrastructure enhancements	<p>Governance: Security Board chaired by Town Clerk, now has directors of departments in attendance, who are charged with gripping the security matters, including City Surveyor, Comp controller, Remembrancer, DBE, Chamberlain, in addition, Media and Comms, Security and Counter Terrorism advisor and assistant TC. Police Commander operations and Special Branch. (TC)</p> <p>Agreed Security Strategy in place</p> <p>Agreed action plan in place being worked through to deliver</p> <p>-HVM (completion date September 2018)</p> <p>-ASF (completion date June 2018)</p> <p>-CCTV (completion date April 2019)</p> <p>Progress being monitored by Security Board</p>	Paul Wilkinson	20-Dec-2017	30-Apr-2019

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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR02 Loss of Business Support for the City 22-Sep-2014 John Barradell	Cause - The City Corporation's actions to promote and support the competitiveness of the business City do not succeed. Event - The City's position as the world leader in international financial services is adversely affected Effect - The City loses its ability to attract and retain high value global business activity, both as a physical location and in mediating financial and trade flows; the City Corporation's business remit is damaged and its perceived relevance is diminished. Reputational damage to the City as a place to do business and to Corporation ability to govern effectively		16	The risk status has recently been increased and is now red. This recognises the probability that a post-Brexit trade deal between the UK and EU27 is unlikely to replicate the level of access firms have within the Single Market. 21 Dec 2017		8	30-Apr-2018	↑

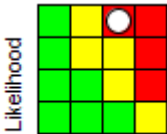

Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR02C	City Corporation providing opportunities for informing the debate on the terms of Brexit, and representing the views of the financial and professional services sector	In September the IRSG launched a report setting out its favoured outcome for the Brexit trade negotiations - a deep Free Trade Agreement covering financial services. EDO is working to promote its conclusions with UK and EU decision-makers. IRSG is also working on projects on the UK's role in global regulation and the required domestic regulatory framework post-Brexit.	Damian Nussbaum	07-Nov-2017	30-Dec-2017
CR02E	Recruit and embed a new global exports and investment team in the new structure of Economic Development	New GE&I team provide relationship management services with financial and professional firms aimed at retaining and attracting FDI, and assisting UK based firms to export. They work with colleagues at Mansion House to deliver the international exports and investment programme led by the Lord Mayor, and also lead in the delivery of our Regional Strategy.	Giles French	07-Nov-2017	31-Dec-2017

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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR16b	For all major systems establish data owner and retention policy for information therein.	This is now being picked up with the GDPR ready project being led from the Comptroller team and IT team in the Corporation and the Information Management and Security team in the Police. Update reports on progress provided to Summit and IT Sub-Committee on a regular basis.	Sean Green	13-Dec-2017	30-Apr-2018
CR16h	Online training to be made available to Members following workshop in February 2016.	Induction training provided - Gary Brailsford Hart is supporting this risk to execute mitigating actions from plan in place. Training for Officers and Members in 2018 now being developed.	Gary Brailsford-Hart	13-Dec-2017	30-Apr-2018
CR16i	The Development and implementation of more technical security infrastructure	Using a recognised Cyber security maturity model there is a dashboard being reported that shows via a RAG status 10 areas of focus to mitigate this risk with training, processes and tools being delivered that in combination will bring the risk to Amber as planned and Green by July 2018.	Sean Green	13-Dec-2017	30-Apr-2018

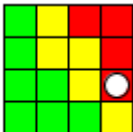
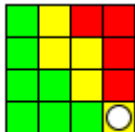
Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR21 Air Quality 07-Oct-2015 Jon Avern	<p>Cause: Small particulate pollution has chronic health impacts from long term exposure at very low concentrations and is in evidence within the City and central London. There is also a health impact associated with long term and short term exposure to nitrogen dioxide.</p> <p>Event: Under certain atmospheric conditions there is a higher probability of poor air quality within the City and it is more likely that residents, workers and visitors would suffer the acute consequences.</p> <p>Effect: The consequences both acute and chronic may include: An increase in hospital referrals placed upon both emergency services and the NHS for those already suffering from respiratory or cardiovascular conditions (it may also place a strain on City social services).</p>	 <p>Likelihood</p> <p>Impact</p>	16	No change from previous assessment. Air quality still a problem across the City. A range of measures are being implemented to reduce pollution but they take time to have an effect 06 Dec 2017	 <p>Likelihood</p> <p>Impact</p>	6	31-Dec-2020	↔

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An increase in deaths, particularly of those already suffering from respiratory or cardiovascular conditions (both residents and workers). Economic costs such as acting as a deterrent of businesses coming to London or staying and financial penalties for non-compliance with air quality limits. Persistent poor air quality may affect the longer term health of the City population. Persistent poor air quality may attract adverse media coverage making the City seem a less attractive place to live and work.							
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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR21e	Develop and implement a plan for reducing the impact of diesel vehicles on air pollution in the Square Mile. This is to complement the work being undertaken by the Mayor of London to reduce air pollution in the central zone through the implementation of the Ultra Low Emission Zone.	An initial feasibility study has been undertaken to look at options for reducing levels of pollution in Beech Street through vehicle management Vehicles have been restricted passing through Bank Interchange, the air quality impact is being assessed Several measures have been implemented in the City Low Emission Neighbourhood including: greening at a number of locations including Moor Lane, installation of 90 secure cycle parking spaces, audit of construction sites, detailed air quality monitoring, business engagement and workshops, business air quality grant scheme, freight surveys, clean air footprints and the provision of zero emission equipment for open spaces dept.	Jon Avern	13-Nov-2017	31-Dec-2018

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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR22 Barbican Centre Fire Risk	<p>Cause: Fire engineering solutions, fire precautions and procedures not fully scoped, effective and/or fully understood at the Barbican.</p> <p>Event: Emergency incident or fire occurs and management procedures or protective mechanisms do not function or works as designed</p> <p>Impact: Effective/partial Art gallery evacuation compromised Damage to artworks Effective concert hall evacuation delayed or implemented unnecessarily for CO incident Ineffective cooperation and coordination of health and safety arrangements with contractors Fire and smoke damage not limited Risk of injury or death to performers, audience, staff, contractors, technical and fire responder teams Adverse effect on the Centre's reputation Financial loss Enforcement action incurring a fine</p>	<p>Likelihood</p>  <p>Impact</p>	16	<p>We have requested and CASC has approved £3.5M additional funding to be provided to the Barbican for fire related works. A project plan for the fire related workstreams is being scoped, and this may involve mobile workshops' and a rolling programme of works on site. Rather like the Forth Bridge, the works programme will progress through the building so as to ensure that we cause no or as little as possible disruption and interruption. We have reviewed the scoring of this risk, as with all of the work that has been completed to date (including training, paperwork, project work and the confirmation of the £3.5 M) it would have been desirable to reduce the scoring. However, it was agreed with Paul Dudley that we would remain at the same rating so as to show the corporate commitment in working with the Barbican. Rather than reduce the scoring, it was agreed that the best solution would be to extend the deadline to December 2018 instead, bearing in mind how long the projects will take to deliver. Members are reassured that during this period, this remains a top priority, and that a large amount of work has already been undertaken and the next twelve months will see significant works (enhancing or refurbishing various fire related elements associated with the building). Members are thanked</p>	<p>Likelihood</p>  <p>Impact</p>	8	29-Dec-2018	↔

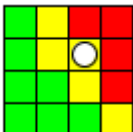

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10-Nov-2016 Jonathon Poyner				for their continued support and assistance. 13 Dec 2017				
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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR22a	To provide robust evacuation procedure for CO incident in concert hall. Review evacuation procedures for areas not covered by public evacuation procedures for contractors/artists that take into account recently gained fire knowledge to ensure the safety of staff with fire responsibilities and the safe evacuation of occupants .Engineering to document gas procedure.	The procedures have been completed and are currently under review by the fire safety management team. This has been delayed slightly due to the evacuation not going to plan due to the alarm panel function for the evacuation not functioning correctly, this is being addressed but has involved minor changes to our procedures.	Jonathon Poyner	04-Dec-2017	31-Jan-2018
CR22c	Ensure that Fire systems are maintained and tested in accordance with the Corporate Building Health & Safety compliance standards.	The FRAs have been fully completed and issued by FPA, it was agreed to provide the maintenance section at the end collectively for all FRAs issued, due to the slight set back with the FRAs this output has also been slightly delayed. A review has now been performed reviewing the draft document and this will be issued in January 2018. This will then allow the site review to determine if other actions need to be implemented to assure the risk is mitigated.	Jonathon Poyner	04-Dec-2017	31-Jan-2018
CR22d	Produce a competency Fire Matrix which will identify and inform the levels of training, knowledge and experience required appropriate to the management of a building of the complexity of the Barbican. Review this against in house resource.	This action has been delayed until January 2018 due to the knock on effect from the FRA delays by FPA. The final in house FRA review is scheduled for 4th Dec 2017 so we will be able to gather information that will impact on this action and align it appropriately. Most of the action has been significantly progressed pending the final review and any changes that may come from the action group review.	Jonathon Poyner	04-Dec-2017	31-Jan-2018
CR22i	Fire Management Plans should be produced based on Fire Risk Assessments, prioritised according to risk and recommendations.	FPA are still in consultation with the Barbican and progressing all the deliverables to meet the requirement of the fire management plans. The site updated drawing is almost complete in auto-cad with layers for the fire safety requirements, to allow us to edit and make changes as required. The compartmentation by zones will also be highlighted. The output has slipped due to the scale and size of the task. Regular meetings are being held on site to ensure the corporate guidance is being met.	Jonathon Poyner	04-Dec-2017	31-Jan-2018
Cr22j	Implement CO Incident Report recommendations not already addressed by Actions a - i above.	The deliverables from this incident report have been mostly completed. A gas safety process has been written and is currently under internal review with team members including Engineering, as soon as this has been accepted it will be rolled out via a communication and	Jonathon Poyner	04-Dec-2017	31-Jan-2018

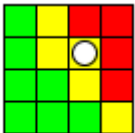


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		toolbox talks, version controlled and tracked to ensure it is functional. We have raised a work order for the fire alarm panel to be re configured to allow the all-out button to become fully functional, this is delaying some of the works due to the programme that need to be written by our contractor. Based on the contractor timescale to re write the existing programme and install the action has been delayed. Once complete another full evacuation will be programmed to ensure functionality.			
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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR01 Resilience Risk 20-Mar-2015 John Barradell	Cause - Lack of appropriate planning, leadership and coordination Event - Emergency situation related to terrorism or other serious event/major incident is not managed effectively Effect - Major disruption to City business, failure to support the community, assist in business recovery. Reputational damage to the City as a place to do business.	 Likelihood	12	IT are still undergoing work to re-locate servers from GJR to another location. Network upgrade work and upgrading bandwidth is progressing across the corporation. Once this has been complete we will be able to carry our resilience tests 07 Nov 2017	 Likelihood	12	31-Dec-2018	↔

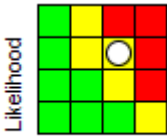
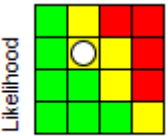
Action no	Description	Latest Note			Managed By	Latest Note Date	Due Date
CR01D	Working with the IS division, remove potential single points of failure from business continuity processes.	IT are still undergoing work to re-locate servers from GJR to another location. Network upgrade work and upgrading bandwidth is progressing across the corporation. Once this has been complete we will be able to carry our resilience tests			Gary Locker	01-Nov-2017	30-Apr-2018

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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR09 Health and Safety Risk 22-Sep-2014 Chrissie Morgan	Cause - Safety is treated as a low priority by the organisation, lack of training of staff and managers, management complacency, poor supervision and management Event - Statutory regulations and internal procedures relating to Health and Safety breached and/or not complied with. Effect - Possible enforcement action/ fine/prosecution by HSE, Employees/visitors/contractors may be harmed/injured, Possible civil insurance claim, Costs to the Corporation, Adverse publicity /damage to reputation, Rectification costs	 Likelihood	12 Impact	The new Health, Safety and Wellbeing Strategy 2018-23 was agreed at Corp HS & Wellbeing committee 13 Nov 2017	 Likelihood	8 Impact	31-Dec-2018	

Action no	Description	Latest Note			Managed By	Latest Note Date	Due Date
CR09F	Deliver a series of health and safety audits and compliance checks to provide corporate assurance that the organisation is being managed safely	The new Health, Safety and Wellbeing Strategy 2018-23 was agreed at Corp HS & Wellbeing committee.			Justin Tyas	01-Nov-2017	31-Dec-2018

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CR19 IT Service Provision 14-Jul-2015 Sean Green	Cause: The whole Police IT Estate and parts of the Corporation are in need of further investment. Event: For the Corporation, poor performance of IT Service and for the Police critical failure of the Police IT Service. Effect: Loss of communications or operational effectiveness (may also lead to low staff morale). Possible failure of critical Corporation and Policing activities. Reputational damage.	 Likelihood Impact	12	Following the recent improvements to the corporate IT network and systems the Chamberlain agreed to reduce the risk score to an amber 12. It is likely that over the coming months the risk will be further mitigated to agree rating. 15 Dec 2017	 Likelihood Impact	6	29-Jun-2018	↔

Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR19c	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure.	Delivery of the new solution will take place throughout 2017 and into early 2018. The LAN hardware has been procured. The remediation of IT communications rooms will be completed by the end of December 2018. IT have awarded the contract for the LAN design, build and support and the solution design is underway. The WAN implementation is now underway with BT.	Sean Green	12-Dec-2017	31-Mar-2018
CR19d	Investment in any retained IT infrastructure to ensure that this meets the same standards of resilience and continuity as delivered by the IaaS infrastructure	Remediation will be completed by end of December 2017.	Sean Green	12-Dec-2017	31-Dec-2017
CR19e	This is the first phase of the revised project to fully replace ageing unsupportable networking hardware from the City and City Police's infrastructure.	Implementation is in progress and will be completed by April/May 2018.	Sean Green	12-Dec-2017	31-Mar-2018
CR19f	The full delivery of a new network for the Corporation and city Police.	The WAN work has started. Remediation of IT communications rooms are almost complete. LAN hardware has been procured. LAN design and build is underway.	Sean Green	12-Dec-2017	31-Mar-2018

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Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR20 Road Safety	<p>Cause: Limited space on the City's medieval road network to cope with the increased use of the highway by vehicles and pedestrians / cyclists within the City of London. Interventions & legal processes take time to deliver</p> <p>Event: The number of casualties occurring in the City rises instead of reducing.</p> <p>Effect: The City's reputation and credibility is adversely impacted with businesses and/or the public considering that the Corporation is not taking sufficient action to protect vulnerable road users; adverse coverage on national and local media</p>	<p>Likelihood</p> <p>Impact</p>	12	<p>The risk is unchanged.</p> <p>Monitoring of the Experimental Scheme continues to take place, with the consultation survey now live until the end of November 2017 and an interim report on the first 6 months of the scheme scheduled for December 2017.</p> <p>Informal consultation on the new draft Road Danger Reduction & Active Travel Strategy with key stakeholders and partners has been completed. The strategy will go to Streets & Walkways Sub-Committee and Planning & Transportation Committee before Christmas.</p> <p>Work continue on the Pilot Behaviour Change campaign which is on target for launch on 20th November.</p> <p>The next major Active City Network event will be a "Have Your Say" on the future of the Square Mile which will be held at the Museum of London on November 22nd.</p> <p>The quarterly newsletter is now being sent to over 2000 contacts across the City.</p> <p>Implementation of the City Mark scheme continues and we are on track to visit every major development site in the City by 31st March 2018.</p>	<p>Likelihood</p> <p>Impact</p>	6	31-Oct-2018	↔



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23-Oct-2015 Carolyn Dwyer				03 Nov 2017				
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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR20b	Permanent Bank Junction redesign	Monitoring of the Experimental Scheme continues with an interim report on the first 6 months of the scheme scheduled for December 2017. A decision on whether or not to make the scheme permanent will be needed prior to November 2018. Between 22 May and 30 September, there has been 2 slights and 1 serious injury recorded at Bank. This represents an overall 57% reduction in reported collisions at Bank Junction during the scheme's operational hours in comparison to the previous 5 year average of the same time period. We are anecdotally aware of a further two collisions at Bank in October, but do not yet have full details for the month.	Steve Presland	10-Nov-2017	22-Nov-2018
CR20f	In accordance with the agreed workplan the Road Danger Reduction & Active Travel Strategy is being prepared. Indicative milestones (1) draft to Planning & Transportation Committee in early 2018; (2) Public Consultation in early; & (3) revised strategy to be presented to Planning & Transportation committee with recommendation for adoption Summer 2018.	Informal consultation on the new draft Road Danger Reduction & Active Travel Strategy with key stakeholders and partners has been completed. The strategy will go to Streets & Walkways Sub-Committee and Planning & Transportation Committee before Christmas. This strategy follows recognition that despite the current plan delivering a reduced casualty rate for vulnerable road users within the City (e.g. casualties plateauing against a significant rise in cyclist numbers) a more radical strategy is essential if we are to deliver the absolute number of casualty reductions set out in the City's agreed target.	Steve Presland	03-Nov-2017	31-Jul-2018
CR20g	Behaviour Change Campaign to address 'inattention'. The process will be (1) use focus groups to identify options; (2) conduct attitudinal survey of road users; (3) prepare campaign delivery plan; (4) deliver campaign; (5) evaluate and report to Q4 2018/19.	(1) Focus group consultation completed September 2017. (2) Attitudinal survey of road users completed with over 1000 responses; currently reviewing responses and will issue a report in mid-November. (3) Campaign delivery plan completed. (4) Campaign delivery on target for launch on 20th November.	Steve Presland	03-Nov-2017	31-Mar-2018
CR20i	Working with the City's 'Active City Network' involving some 100+ City businesses we will deliver two major events a year, provide monthly road shows at businesses and provide employers, residents and visitors with a platform for two way communications regarding strategies to deliver road safety improvements. Progress will be reported to Members in March 2018.	The next major Active City Network event will be a "Have Your Say" on the future of the Square Mile which will be held at the Museum of London on November 22nd. The quarterly newsletter is now being sent to over 2000 contacts across the City. The 2 major events planned for next year will be high profile events aimed at both businesses, their workers and the public in general. They will seek to raise awareness of road danger, the need for them to take some responsibility for their own well being, and increase their	Steve Presland	03-Nov-2017	31-Mar-2018

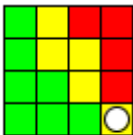
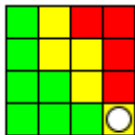
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		knowledge of the active travel infrastructure improvements being put in place e.g. Quietways, Bank, Aldgate etc.			
CR20j	By 31st March 2018 all active construction sites in the City of London will have been visited to audit their level of compliance with the national CLOCS (Construction Logistics and Community Safety) scheme. The objective is to provide appropriate support to enable 75% of sites to be CLOCS compliant by summer 2018 including safer vehicles and trained drivers/ banksmen.	During the first year of the City Mark scheme half of sites were visited of which half supplied evidence they were already CLOCS compliant. Current work, to be completed by the end of 2017/18, is (a) revisit the sites who could not demonstrate that they were CLOCS compliant to encourage them to become CLOCS compliant and to support them in doing so; and (b) visit the remaining half of the sites.	Steve Presland	03-Nov-2017	31-Aug-2018

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CR23 Police Funding 21-Nov-2016 Caroline Al-Beyerty; Ian Dyson	Cause: Reduction in government funding and growing demand in Policing services leading to pressures for the City Fund -Police. Event: Reduction in government funding.Budget deficit forecast for next 5 years requiring action to balance the budget The Government's stated intention is that the shortfall should be met from an increase in the precept (in the City's case, the business rate premium). Effect: Potential impact on security and safety in the City as need to make savings, prioritise activity, review funding City of London Police will be unable to maintain a balanced budget and current service levels as reflected in their Medium Term Financial Plan from City and Business Rate Premium.	 Likelihood Impact	12	Deloitte Review on Future Demand and VfM identified significant savings opportunities. Plans are now being developed to deliver increased effectiveness and savings which will feed into 2018/19 budget and Medium Term Financial Plan and mitigate service impact. 13 Dec 2017	 Likelihood Impact	4	31-Mar-2018	↔

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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR23a	Limited opportunities in 2017/18 to make in year savings against front line police officer budgets without impacting adversely on delivery of key policing plan objectives. Police staff numbers subject to further scrutiny as part of in-year savings exercise.	Chief Officer Cash Limited Budgets include a savings target of £1.2m against the non-pay budget of £29.3m. The force has managed to secure savings of £0.33m against Repair and Maintenance, Travelling Expenses, Tasking and Core Directorate Overtime Budgets; however, this currently falls short of the savings target by £0.87m.	Michelle King	30-Oct-2017	31-Mar-2018
CR23b	Exhaust the Police Reserves by 31 March 2018	The actual drawdown on reserves in 2016/17 was £0.6m, an improvement of £2m compared to the forecast position. Current anticipated year-end draw down in 2017/18 is £1.6m; leaving Reserve balance of £1886k.	Philip Gregory; Michelle King	13-Dec-2017	31-Mar-2018
CR23d	Consider increase in the business rates premium in future periods	Will be considered as part of the medium term financial planning for 2018/19.	Caroline Al-Beyerty	13-Dec-2017	31-Mar-2018
CR23e	Efficiency and Performance Sub Committee commissioned a review of shared services.	The scope of the review is currently being agreed.	Caroline Al-Beyerty; Alistair Sutherland	13-Dec-2017	31-Dec-2017

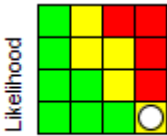
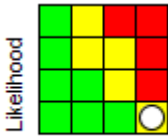
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CR10 Adverse Political Developments	<p>Cause: Financial services issues that make the City Corporation vulnerable to political criticism; local government devolution proposals that call into question the justification for the separate administration of the Square Mile; overarching political hostility.</p> <p>Event: Functions of City Corporation and boundaries of the City adversely affected.</p> <p>Impact: Damage to the City's reputation as a place to do business. The City of London Corporation could be compromised if the City's position as a world leading business and professional services centre were undermined.</p>	 <p>Likelihood</p> <p>Impact</p>	8	There has been close engagement with those responsible for formulating proposals to enable the devolution of responsibilities while safeguarding the City. Constant attention is given to the form of legislation affecting the City. Making known the work of the City Corporation among opinion formers, particularly in Parliament and central Government, is necessary to that the City Corporation is seen to remain relevant and "doing a good job" for London and the nation and this is seen	 <p>Likelihood</p> <p>Impact</p>	8	31-Dec-2018	↔

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22-Sep-2014 Paul Double				to be an objective assessment. The Office also provides advice on the City Corporation's approach to important political developments in particular, the process to leave the EU and the general parliamentary mood. 13 Nov 2017				
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Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR10a	Monitoring of Government legislation and proposed regulatory changes.	Relevant Bills in the Government's legislative programme will be identified and City Corporation departments alerted to issues of potential significance as the measures are introduced in the new Session. Action taken through negotiation with departmental officials or amendments tabled in Parliament as required. The legislative consequences of Britain leaving the EU as they may affect the Corporation and the City more generally as an international financial centre are a particular focus.	Paul Double	13-Nov-2017	31-Dec-2018
CR10b	Provision of information to Parliament and Government on issues of importance to the City.	Briefing has been provided for parliamentary debates including on Brexit, air quality, immigration, housing, planning, the creative industry, trade and investment, apprenticeships, economic crime, Fintech and broadband.	Paul Double	13-Nov-2017	31-Dec-2018
CR10c	Engagement with key opinion informers in Parliament and elsewhere. Programme of work to monitor and respond to issues affecting the reputation of the City Corporation.	Liaison with the City's MP and other MPs, Peers and Select Committee of both Houses on matters of importance to the City, including increased engagement on Brexit-related issues. Working with other organisations, including the Financial Markets Law Committee, to analyse the legal framework following exit from the EU. Continuing engagement on devolution in London and liaison with London Councils and Central London Forward on the application of devolution to the London boroughs and the City, either directly from Central Government or the Mayor.	Paul Double	13-Nov-2017	31-Dec-2018

Appendix 2

Risk no, title, creation date, owner	Risk Description (Cause, Event, Impact)	Current Risk Rating & Score		Risk Update and date of update	Target Risk Rating & Score		Target Date	Current Risk score change indicator
CR17 Safeguarding 22-Sep-2014 Andrew Carter	Cause: Not providing appropriate training to staff, not providing effective management and supervision, poor case management Event: Failure to deliver actions under the City of London' safeguarding policy. Social workers and other staff not taking appropriate action if notified of a safeguarding issue Effect: Physical or mental harm suffered by a child or adult at risk, damage to the City of London's reputation, possible legal action, investigation by CQC and or Ofsted	 Likelihood Impact	8	The Corporate Safeguarding Audit has now been completed and is with DCCS to finalise the Management Plan. This will be completed by mid Jan18. All relevant Departments will then be required to name a Safeguarding Champion who will represent their Department at the new Champions Group. This will be in place by end of Feb 2018 with new ToR confirmed by end of March 2018. A new corporate training programme will then be developed during 2018/19 . Oversight of take up and impact will sit with HR and DCCS. 12 Dec 2017	 Likelihood Impact	8	31-Mar-2018	↔

Action no	Description	Latest Note	Managed By	Latest Note Date	Due Date
CR17k	The role of Safeguarding Champions to be reviewed and to consider if Domestic Violence can be added to the role	The Corporate Safeguarding Audit has now been completed and is with DCCS to finalise the Management Plan. This will be completed by mid Jan18. All relevant Departments will then be required to name a Safeguarding Champion who will represent their Department at the new Champions Group. This will be in place by end of Feb 2018 with new ToR confirmed by end of March 2018. A new corporate training programme will then be developed during 2018/19 . Oversight of take up and impact will sit with HR and DCCS.	Chris Pelham	12-Dec-2017	30-Nov-2017
CR17l	Online basic Adult Safeguarding training will be mandatory for DCCS staff	The Seminar on Financial Scams was delivered on 4.12.18. It was attended by over 70 delegates from range of agencies and local authority areas. An evaluation on the seminar will be completed and shared with the City and Hackney Safeguarding Adult Board(CHSAB).	Chris Pelham	12-Dec-2017	31-Mar-2017

Appendix 2

		This will be completed by February when the CHSAB City Sub Committee will be meeting again			
CR17m	The Adult Social Care Team will be working with the City of London Police to raise the profile of financial abuse and scams	The review of Independent Schools Safeguarding arrangements is completed. GSMD improvement plan in place. 2 monitoring meetings have taken place during the Autumn Term. Of the 26 actions set out in the improvement plan 18 are green and 8 are amber and on track for completion within the timescales set out in the plan. The Monitoring group will meet termly until completion of the plan by end of academic year 2017/18.	Chris Pelham	12-Dec-2017	31-Dec-2017
CR17o	A review is being conducted into the safeguarding arrangements within the independent schools within the City of London. It is anticipated that the report will be available at the start of December. If the report makes recommendations these will be implemented within agreed timescales.	The review was completed end of 2016. All schools reported back on findings to their Governing Bodies. An additional deep dive review was undertaken with the GSMD satellite provision across the country. This was completed q4 2016/17 and a detailed Action Plan was put in place to address issues identified in the review. The findings and the action plan were presented to the GSMD Governing Body. An improvement group chaired by the GSMD Principal, supported by members of the CCS Safeguarding leadership team are also on the group overseeing the implementation of the plan. Monitoring meetings will take place on a termly basis through the 2017/18 academic year. GSMD will look to commission an independent review of the new arrangements during the academic year.	Andrew Carter	14-Nov-2017	31-Dec-2017